



## Audit and Risk Management Committee

**Date:** THURSDAY, 17 SEPTEMBER 2015

**Time:** 1.45 pm

**Venue:** COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

**Members:**

Alderman Nick Anstee (Chairman)	Alderman & Sheriff Timothy Hailes
Alderman Charles Bowman	Alderman Ian Luder
Deputy Roger Chadwick (Ex-Officio Member)	Kenneth Ludlam (External Member)
Hilary Daniels (External Member)	Caroline Mawhood (External Member)
Revd Dr Martin Dudley	Jeremy Mayhew (Ex-Officio Member)
Deputy Jamie Ingham Clark	Graeme Smith
Oliver Lodge	Henry Colthurst (Ex-Officio Member)
Alderman Timothy Hailes	Roger Chadwick (Ex-Officio Member)
Alderman Ian Luder	
Graeme Smith	

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**Lunch will be served in Guildhall Club at 1pm**  
**NB: Part of this meeting could be the subject of audio or video recording**

**John Barradell**  
Town Clerk and Chief Executive

# AGENDA

## Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**  
To agree the public minutes and non-public summary of the meeting held 20<sup>th</sup> July 2015.  
  

**For Decision**  
(Pages 1 - 6)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**  
Members are asked to note the Committee's Outstanding Actions list.  
  

**For Information**  
(Pages 7 - 8)
5. **COMMITTEE WORK PROGRAMME**  
Members are ask to note the Committee's Work Programme.  
  

**For Information**  
(Pages 9 - 10)
6. **INTERNAL AUDIT UPDATE REPORT**  
Report of the Head of Internal Audit and Risk Management.  
  

**For Information**  
(Pages 11 - 20)
7. **CORPORATE RISK REGISTER UPDATE**  
Report of the Chamberlain  
  

**For Decision**  
(Pages 21 - 72)
8. **ANTI-FRAUD & INVESTIGATIONS UP-DATE REPORT**  
Report of the Chamberlain.  
  

**For Information**  
(Pages 73 - 82)
9. **CYBER SECURITY RISKS**  
Report of the Chamberlain  
  

**For Information**  
(Pages 83 - 106)

10. **HMIC INSPECTION UPDATE**  
Report of the Commissioner, City of London Police.

**For Information**  
(Pages 107 - 138)

11. **RE-APPOINTMENT OF AN EXTERNAL MEMBER**  
Report of the Town Clerk.

**For Decision**  
(Pages 139 - 140)

12. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

**For Decision**

13. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**  
The Chairman has agreed to the admission of an item of late business:

Risk Management – report of the Chamberlain (for information)

**For Information**  
(Pages 141 - 142)

14. **EXCLUSION OF THE PUBLIC**

**MOTION:** That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

**For Decision**

#### **Part 2 - Non-Public Agenda**

15. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

16. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**